

RETIREMENT PLAN AGREEMENT
with

This is an agreement between Fountain Strategies LLC (“Fountain”) and you (“Client”) to work together with a Fountain advisor to establish a meaningful Retirement Plan within three months’ time of the date of this agreement. The plan will take the form of an online personal and password-protected MoneyGuidePro account, available to both the Fountain advisor and the Client for three months, at the end of which the plan will terminate unless the Client expresses his desire to continue in a broader financial planning and investment management relationship.

The \$1,500 fee covers collection, analysis, and arrangement of Client’s data in MoneyGuidePro; suggesting changes which may serve to improve the quality and amount of Client’s retirement resources; and for setting up “What If...?” comparisons from which Client can choose the most suitable course of action. The fee may be paid in three \$500 increments (one at the outset, one at the end of end of the second month, and one at completion on or before the end of the third month. Payment can be made by check payable to and mailed to Fountain Strategies LLC, or via the credit card facility at Fountain’s website. If Client decides, at a time prior to the full three-month period, to sever this relationship for any reason other than Fountain’s proven neglect or disservice, any remaining balance of the \$1,500 is still due and payable.

Investment advice will be general in nature (e.g. allocation among asset classes rather than naming specific stocks or funds). Fountain does not need to know Client’s private data beyond that set forth in the Retirement Questionnaire. All Client data will be confidential and private within Fountain. At the end of the three-month period Client data and correspondence will remain in Fountain archives as current law may require, after which time it will be erased or burned depending upon its form. Fountain does not sell investment or insurance products nor does it represent any other commercial entity.

By his signature below, Client acknowledges he has read and understands this agreement, that he or she will work diligently with a Fountain advisor to establish a meaningful Retirement Plan in three months, and that he or she will pay the fee in full.

By its signature below, Fountain acknowledges receipt of the fee in whole or part, agrees to work diligently with Client, and to be available to Client via email, phone, and regular mail to establish a meaningful Retirement Plan for Client in three months.

Client signature

date

Fountain Advisor

date