

PRIVACY STATEMENT

Federal law requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

In the course of completing our initial questionnaire, or in subsequent correspondence, or in completing an investment account application and when refining your password-protected account at [MoneyGuidePro](#), you share with us ([Fountain Strategies LLC](#)) the names, dates of birth, and Social Security Numbers for you and your family members, your address, income, debts, driver's license number, insurance policy data, investment and banking account numbers, the value, location, and amounts of your cash and investment holdings, your beneficiary designations, your trust information, your tax returns, your lifetime goals, your passwords to enter your investment accounts, your risk tolerance, and the names and locations of your tax preparer, attorney, and insurance agent. In short, we know a lot about you, and you would not want it any other way.

This information resides in our company archive on our Google Work "Drive" and for purposes of business continuity it is available to the four Investment Advisor Representatives (IAR's) of Fountain Strategies LLC... and to no one else. In the course of our daily business activities we share with [Fidelity Investments](#) what is necessary to complete account applications and to service your accounts and orders. In the process of becoming a client you may have completed a [Questionnaire](#) that will remain in our company archive. Your [MoneyGuidePro](#) account is your personal, password-protected online financial plan that either holds or reflects most of your private information. Your name, address, and email address are made available to our [MailChimp](#) list so we can send you our monthly blog. Your name, address, and the last four digits of both your Social Security Number and your Fidelity account numbers now reside on Fountain's compliance guidance software maintained by [SmartRIA](#). As a Registered Investment Advisor, Fountain Strategies LLC is subject to periodic [audits](#) by representatives of the California Department of Business Oversight, in which case those representatives may ask to review your file for compliance assessment purposes.

Beyond those entities listed above, we do not share your private information with any other financial companies, or affiliates or non-affiliates of any kind, for marketing or for any other purposes. Fountain's business archives are "in the cloud," protected by Google security measures far beyond what we would be able to duplicate. For day-to-day activities, your files do reside temporarily in your individual advisor's desktop or laptop computer, and advisors may access your data on their iPhone's as well. All these access points are protected by entry passwords, firewalls, and commercial antivirus programs, but please keep in mind that "hacking" has evolved from an amusement to a science of global proportions. It is increasingly likely that online invaders intent upon acquiring your private information may eventually succeed.

The weakest link in our data protection arrangements is email. Google affords us a great measure of online encryption (https) and we have adopted [ShareFile](#) and [Sendinc](#) security encryption features to protect the more private data in our two-way traffic with clients. [We beg you not to send in an open email any complete version of investment account or banking account, or Social Security numbers, or account values that would inform an invader about the dimensions of your holdings or how to access them.](#)

Your personal privacy is evaporating daily from sustained and intense electronic exploitation by marketing organizations, government institutions, fraudsters, and identity thieves!

Douglas M. Roesser, CFP®

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