



## FRESH LOOK AGREEMENT

This is an agreement between Fountain Strategies, LLC, a California limited liability company (“We”), and \_\_\_\_\_ (“You”).

**Preface.** The term “Financial Planning” can include both *life planning* services and *investment management* services. Fresh Look focuses upon life planning services; it is designed to help those who seek a second opinion or a better way to proceed with their life planning and who do not require investment account management beyond the scope of review and general guidance.

**Advisory Services.** We will work with you for a period of **three consecutive months** to help you design your personal retirement plan, working from shared access to your password-protected online account at MoneyGuidePro. This may include collection, analysis, and arrangement of your data; suggesting changes which may serve to improve the quality and amount of your retirement resources; and setting up “What If?” comparisons from which you can choose the most suitable course of action. **This service does not include Investment Management.** At or before the end of three consecutive months, you can print the plan directly from MoneyGuidePro for personal use.

**Your Responsibilities – Provision of Information.** The value and usefulness of the life planning services described above rely upon your active participation in determining your financial planning objectives, identifying your acceptable tolerance for taking investment risk, and your willingness to consider a few or several “What If?” possibilities.

**Length of Agreement.** This Agreement will remain in effect throughout **three consecutive calendar months** and then terminate. **This Agreement is not renewable.** However, if you elect to continue with a Fountain advisor in either a Financial Planning and Investment Management relationship or a Financial Planning Only relationship, you will not be required to pay the \$500 initial setup fee for those plans.



**Our Fee.** Our fee for **Fresh Look** is **\$1500**, which may be paid in three \$500 installments: at the outset, at the start of the second month, and at the start of the third month. Payment can be made by check payable to and mailed to Fountain Strategies LLC, or by the credit card facility at our website. If you decide to sever your relationship with Fountain, other than for reasons of proven neglect or disservice, at a time prior to the full three months period, any remaining balance of the \$1,500 is still due and payable.

**Privacy and Confidentiality\*** You hereby acknowledge that you have received and reviewed a copy of our Privacy Policy. Except as otherwise agreed in writing or as required by law, we will keep confidential all information concerning your identity, your financial affairs, and your investments.

**Disclosure\*** You hereby acknowledge that you have received and reviewed a copy of our Form ADV Part 2, as well as a copy of this Agreement.

*\*Privacy Policy & Advisor Disclosure docs (ADV Part II) are available on our website:  
<http://fountainstrategiesllc.com/library>*

**Assignment.** We acknowledge that we are prohibited from assigning our advisory obligations within this agreement to another party without your written consent.

**Signatures:**

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Client date

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Client date

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Advisor: Douglas M. Roesser, CFP® date